#### Remarks

## A. Basis for claim amendments

Basis for the amendment to claim 1 to --20%-- includes page 3, line 22 of the verified translation of the application filed on July 12, 2006.

Basis for the amendment to claim 1 to --acrylic acid--includes claim 1 itself.

## B. The Office Action

Claims 1 and 3-6 are pending.

On page 2 of the Office Action, <u>independent claim 1 and dependent claims 4 and 6</u> were rejected under 35 U.S.C. 103(a) as being unpatentable over Tenten et al. (US 5,677,261), in view of Neher et al. (US 5,387,720).

On page 4 of the Office Action, <u>dependent claim 3</u> was rejected under 35 U.S.C. 103(a) as being unpatentable over Tenten et al. (US 5,677,261), in view of Neher et al. (US 5,387,720), and further in view of Unverricht et al. (US 6,403,829).

On pages 4-5 of the Office Action, dependent claim 5 was rejected under 35 U.S.C. 103(a) as being unpatentable over Tenten et al. (US 5,677,261), in view of Neher et al. (US 5,387,720), and further in view of Uchida et al. (US 4,871,700).

## C. Applicant's discussion

C.1.a. KSR International as to scope and content
It is respectfully submitted that the appropriate
standard of review is found in KSR International Co. v.

Teleflex Inc. et al., 550 U.S. \_\_\_\_\_, 127 S. Ct. 1727 (2007),
and that this decision mandates that the scope and content
of the prior art are to be determined.

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c.1.b. A review of the scope and content of the Tenten et al. and Neher et al. reference in relation to the claimed first and second steps, the claimed starting material, and the claimed final product shows that the primary reference is applied against a second step and the secondary reference is applied against a first step

Claim 1 positively claims a first step. This first step also claims a starting material of an aqueous glycerol solution.

Claim 1 also positively claims a second step. This second step also claims the final product of <u>acrylic</u> acid (not methacrylic acid).

The primary reference, Tenten et al., is being applied against the second step. The Office Action points out that "Tenten et al. teaches the preparation of acrylic acid from acrolein by gas-phase catalytic oxidation (column 11, lines 27-28). As to such a teaching, it is noted that acrolein (not an alcohol and not glycerol) is the starting material.

The secondary reference, Neher et al., is being applied against the first step. For example, the scope and content of the Neher et al. reference includes the disclosure in Example 1 that a 20 wt. % aqueous glycerol solution (water content: 80 wt. %) is pumped at 40 ml/h into a vaporizer to obtain acrolein.

Thus, according to the Office Action, the Neher et al. reference discloses that a glycerol solution may be used to produce acrolein, and the Tenten et al. reference discloses acrolein may be used to produce acrylic acid.

Thus, Tenten et al. discloses not the starting material of glycerol, and not the claimed first step, but only the final product. The secondary reference, Neher et al., does nothing to "modify" or "cure" Tenten et al. The only role

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of the secondary reference is to be cited against the starting material of glycerol and the first step.

All inventions are combinations of old elements. If the present invention is a combination of step A and step B, then the Office Action is citing one reference that may or may not relate to the step B and another reference that may or may not relate to the step A. It is respectfully submitted that KSR International demands more.

Even the Office Action appears to acknowledge that there is no tie between the references of Tenten et al. and Neher et al. For example, on page 5 of the Office Action, it is stated that "the Examiner recognizes that obviousness can only [emphasis added] be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art." The Office Action then provides that "In this case, it is permissible for the Examiner to rely on disclosures, which fairly teach embodiments of Applicant's invention. The claims require a multitude of elements and it is reasonable [emphasis added] for one of ordinary skill in the art to consider these elements being used together." Why such is reasonable is not addressed and it is respectfully submitted that KSR International requires at least such.

As asserted in the Amendment and Remarks of August 18, 2007, there is no disclosure in any of the cited references to teach or suggest the continuous integrated process of independent claim 1. Moreover, as discussed below in the next section, at least the Tenten et al. reference teaches away from what is claimed.

## C.2.a. KSR International as to teaching away

On page 12 of the slip opinion, the Supreme Court of the United States in <a href="KSR International Co.">KSR International Co.</a> reaffirms the concept of teaching away:

In United States v. Adams, 383 U.S. 39, 40 (1966), a companion case to Graham, the Court considered the obviousness of a "wet battery" that varied from prior designs in two ways: It contained water, rather than the acids conventionally employed in storage batteries; and its electrodes were magnesium and cuprous chloride, rather than zinc and silver chloride. The Court recognized that when a patent claims a structure already known in the prior art that is altered by the mere substitution of one element for another known in the field, the combination must do more than yield a predictable result. 383 U. S., at 50-51. It nevertheless rejected the Government's claim that Adams's battery was obvious. The Court relied upon the corollary principle that when the prior art teaches away from combining certain known elements, discovery of a successful means of combining them is more likely to be nonobvious. Id., at 51-52. When Adams designed his battery, the prior art warned that risks were involved in using the types of electrodes he employed. The fact that the elements worked together in an unexpected and fruitful manner supported the conclusion that Adams's design was not obvious to those skilled in the art. [emphasis added]

# C.2.b. The Tenten et al. reference teaches away from what is claimed

As to the Tenten reference, the Examiner contends on page 3, third to first lines from bottom of the Final Office Action that "Note that the primary reference, Tenten et al., appears to recognize the equivalency of alkenes and alcohols for gas-phase oxidation (column 12, lines 8-10). The expected result would be the production of acrylic acid from glycerol in high yield."

Applicant respectfully begs to differ with the Examiner. First, applicant discusses how the disclosure pointed out by the Examiner (column 12, lines 8-10) is different from what is claimed. Second, applicant shows how such a disclosure and the Tenten et al. reference as a whole teaches away from what is claimed.

(1) In the Tenten et al. reference, namely in column 12, lines 8-10, the alcohol which is used as a starting

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material is tert-butanol, and the final product (where alcohol is used as a starting material) is methacrylic acid. (It is noted that the Tenten et al. reference teaches acrylic acid as a final product in column 11, line 27, but the starting material in this case is acrolein.) On the other hand, in the present invention, the alcohol which is used as a starting material is glycerol, and the final product is acrylic acid. Therefore, both the claimed starting material and the claimed final product in the present invention differ from those in the Tenten et al. reference.

(2) JP-A-59-210031 (a copy and a partial English translation are enclosed herewith) discloses that an alcohol makes a dehydration reaction to form an olefin (alkene) (please refer to claim 1 of the above partial English translation of the JP reference). Particularly, the JP reference discloses in Examples 1 and 2 that tert-butanol makes a dehydration reaction to form isobutene (please refer to Examples 1 and 2 of the above partial English translation of the JP reference). Therefore, it appears that, also in the Tenten et al. reference, tert-butanol which is used as the alcohol being a starting material makes a dehydration reaction to form isobutene in the same way, and thereafter this isobutene makes an oxidation reaction to form methacrylic acid. On the other hand, as to the present invention, if an olefin, namely, propene, according to the above teaching of the JP reference were formed in the step of the dehydration reaction of an alcohol, namely, glycerol and if this propene according to the teaching of column 4, lines 7-30 of the Tenten et al. reference made an oxidation reaction to form acrylic acid via acrolein, then a catalyst for the oxidation reaction of propene would be necessary separately. However, in the present invention, such a

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catalyst is not necessary. Therefore, the Tenten et al. reactions not only differ from what is claimed, but actually teach away from what is claimed.

C.3. In view of concerns of the Examiner, applicant has amended claim 1 to even further remove claim 1 from the prior art references

The Neher et al. reference teaches <u>a low glycerol</u> <u>concentration</u> of an aqueous glycerol solution. In other words, the Neher et al. reference teaches <u>a high water</u> <u>content</u> of an aqueous glycerol solution. <u>Claim 1 claims</u> generally the opposite.

As to the Neher et al. reference, the Examiner contends on page 6, lines 7-12 of the Final Office Action that "The Examiner does not consider Applicant's 50 wt % of glycerol substantially different than the art's 40 wt % glycerol. With respect to Applicant's table on page 8 of the Remarks, the Applicant has not compared side-by-side results. The glycerol concentration the Applicant has used is substantially higher than 50 wt %. Thus, the Applicant has not shown a comparison with 50 wt % glycerol, as described in the claim language, with 40 wt % glycerol as taught in the art."

In order to address this contention of the Examiner, applicant has amended claim 1 to revise the water content "not more than 50 % by weight" of the aqueous glycerol solution into --not more than 20 % by weight--. In Example 1 of the Neher et al. reference, a "20 wt. % aqueous glycerol solution [water content: 80 wt. %] is pumped into a vaporizer." While the Neher et al. reference does disclose an aqueous glycerol solution of 10 to 40 wt. % (water content: 60 to 90 wt. %), the Example 1 disclosure appears to be the disclosure in the Neher et al. reference

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having the lowest content of <u>vaporized glycerol</u> which applicant positively recites in claim 1.

It should be noted that the above-amended range --not more than 20 % by weight-- of the water content of the aqueous glycerol solution is also supported by all working examples (Examples 1 to 5) as described in the present application specification (15 % weight, 9 % weight, 8 % weight, 2 % weight, 9 % weight, respectively).

It should be noted that claim 1 claims percentages relative to a <u>water content</u>, while the Neher et al. reference relates its percentages to an aqueous <u>glycerol</u> solution.

In other words, claim 1 claims a water content of not more than 20 % by weight (i.e., 20 % and less than 20 % of water). On the other hand, the Neher et al. reference teaches an aqueous glycerol solution in a range of 10 to 40 wt. % (water content: 60 to 90 wt. %). Hence, there is no overlap between the ranges of claim 1 and the Neher et al. reference.

# C.4. If necessary, applicant can submit a declaration

From among all working examples (Examples 1 to 5) as described in the present application specification, there was selected Example 1 where the water content of the aqueous glycerol solution was the highest value 15 % by weight and where the yield of acrylic acid was the lowest value 55 %. Then, in this comparative experiment, acrylic acid was produced in the same way as of Example 1 except that the water content "15 % by weight" of the aqueous glycerol solution was increased to 60 % by weight which was the lower limit value of the water content in the Neher reference.

As a result, the yield of acrylic acid was 49 %, which was a lower value, even when compared with the yield "55 %" of acrylic acid of Example 1 which yield was the lowest of those of all working examples (Examples 1 to 5) as described in the present application specification.

The result of this comparative experiment demonstrates the superiority of the present invention due to the above-amended range --not more than 20 %" by weight-- over the alleged combination of the Tenten et al. reference with the Neher reference.

## D. Housekeeping matters

## D.1. Period For Reply

A shortened statutory period for reply was set to expire three months from the mailing date of the Office Action of September 13, 2007. September 13, 2007 plus three months is December 13, 2007. This Amendment and Remarks is being filed on or before Thursday, December 13, 2007 and may be being filed on or before Tuesday, November 13, 2007.

## D.2. Status

The Office Action of September 13, 2007 was made final.

## D.3. Disposition Of Claims

Claims 1 and 3-6 are pending.

## D.4. Application Papers

This case includes no drawings.

## D.5. Priority under 35 U.S.C. §§ 119 and 120

Acknowledgement of the claim for foreign priority was made in the Office Action dated May 24, 2007. This is

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appreciated.

## D.6. Attachments

As the Examiner has indicated, applicant has filed one PTO-1449 form in this case. This form has been initialed, signed and returned. This is very much appreciated.

## E. Summary

Applicant respectfully submits that the present application is now in condition for allowance. The Examiner is respectfully invited to make contact with the undersigned by telephone if such would advance prosecution of this case.

Respectfully submitted,

Date: 11-6-2007

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**①特許出意公開** 

母公開特許公報(A)

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発明の数 1 審査情求 朱晴泉

(全 4 頁)

のアルコールからのオレフインの製法

庭 昭59—89588

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契明の名称

ナルコールからのオレフインの製佐

#### 存許確求の毎日

**2017** 

- 1. 数体又は気体の状態のアルロールを、このア
  ルコール及び生成するオレフィンの排点以上の
  展度で常体点数値中に導入するととにより解決
  を行い、オレフィンを気体状で排出し、高体点
  数値を固定をが増加したとき更新し、そして彫
  生物の増加した高等点数値を排出することを呼
  をよする、依知中でアルコールから水を分解放
  出するととによるオレフィンの数性。
- 2. 財産物の均加した鉱物を燃助することを得食とする、特件所求の厳密部1類に恒量の可染。
- 5. 英語点試制として、ガス相、其意ガス情、正 質物料情、工業用自動文物製品教育値を使用することを特徴とする、特許情末の範囲第1項に 記録の力法。
- 4 以水化、風水灰心に乾燥の触糞を用いて行う

ことを背景とする。特許野家の虹磁第1項に競けの方が。

- 5. 殷水を迅速的に行うことを特徴とする、特許 州水の星遊祭(以に北戦の力学)。
- 4 以水を150~850℃で行うことを特徴と する、外砂原水の範囲第1項に記載の方法。

#### 免労の野郷な説明

本発質は、必需点鉱物中の表布で以来を行い、 その開鉱的を更新して異生物の増加した鉱物を 始終に供することによる。 ナペロールからオレ フィンを製造する方法に関する。

独像の存在下の資和中では、多くの場合アルコールから水がをわめて円滑に分解放出される。 それには元分な反応速度に関連するため、独僚、 例えば保険又は頻識の高便度が必要である。 放 水反応は処言は被和中160~206℃で進行 する。この厳しい条件の結果として、かなり多 近の関生物が、例えばエーテン化、異性化及び 処成エレフィンの或合により生成する。

ナレフィレを行るためのより且好な手収とし て、気袖中で不역一系無牒を用いてアルマール の説水が行われる(ホーペンークイル書メトー ナン・ナル・オルガニタシニン・ヘミー4/2 着207只参照)。 触鉄として何えば限化アル モニウム、鋳造アルモニタム又はトリタム、メ ングステン及びチョンの酸化物あるいは混合像 化告が無いられる。反応包囲は、300~46 C でも高いので、骨に亜圧が及び異常の生態が 雌こううな。触傷を虫傷により再生せねばなる ない。顕体推奨を使用する場合の個の欠点は使 厄の点であり、それは製造においても準付け込 び攻出した励しても、ならびに飲必によつても 生ずる。気和反応において合成中に都合の難い 毎気温雪と供験が囚禁をもたらすので、火きい 色交換回復及び組動を容殊せればならない。

したがつてこれらの欠点を存せず、可生物の 簡単な分類放棄を可能にする方法を開発するご とが隔離となっていた。

本苑刺者らは、液体又に気体の状態のアルコ

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ールを、このアルワール及び生成するオレフインの持点以上のほぼで高弱点鉱物中に膨入することにより過速を行い、サレフインを対体なで新出し、高が点鉱物を競鉱物が増加したとき質がし、そして耐免物の増加した高級点鉱物を制度し、新ましくはこれを振りに供する、家和中でアルコールから提水することによるオレフィンの製法により、この顕微と像外した。

高部点は他とは、表点180℃を有する面部点の資油材料出成物、例之にガス心、其足ガス心、其足ガス心、其足ガス心、其足ガス心、其足ガス心、性力がし、工業用目前、存品ペラブインクップス又は分替機関化水泉心を表示する。好はしくは200℃以上の野皮根に350~500円の特殊の数を有する其辺ガス的が用いられる。

成水されるアルコールとしては、反応条件下 に発便なオレフィンを生成する、水を分解放出 する能力のある征息のアルコール、得に低かに 他的性当を有しない、推動無、関係無义は参う 設計級のアルコールが用いられる。

質切束アルロールの例としては、2~20年

の以来原子を行するもの。例えばエコノール、 プァノール又はデカノールがあげられる。

水を分解が出する協力のある監理器のアルコールは、1個及び多値の化合物例をはシクロへササノール、アクロドデコノール、1,2,5,4ーテトフはドロナフトールー1又は1,2,5,4ーテトフにドロナフトールー2である。

労害財政法アルコールとしては、例えば2ーフエニルエタノール又は4ーフユニルブタノールスは1ーフエニルブタノールー1が用いられる。

以水は反応の遺影に応じて、無数なして又な 好せしく性態症を用いて実施できる。無無使用 の場合は、飲油に不存な機能も鉱跡に可能な機 無も使用でき、それは鉱跡に効果され、乳化さ れ又は軽減される。

好ましくは最熟額、例えば回動族文は労者族のスルホン教列とはペンプールスルホン教又は トルボールスルホン教、ドブリルベンプールス ドロン教、強限及び征数サエステル例えばテル ナル確取、美限文はその額分エステルのなれた 別事体又は組成及びその政策等体が用いられる。 関係水物例文は五数化構。二数化数分数で使化 編集も使用できる。

風水反応は一般と、50~600℃野ましく 性50~550℃毎に160~860℃の無度 、で行われる。一般に大気圧又は加圧が短用され、 るが、数圧で恐作することも可能である。

無水反応用の反応的としては、列えば使作水 数型が延ずる。しかし水方法のため枠に好まし くは、値域に配便された円筒形反応器、例えば 放進等、改込等又は光規体等が出いられる。出典 物質又はその包含物は、通常はガス状で放棄を 光理した反応傷の体質に便能なれ。あるいは包 秋で反応性配配に供給され、その場合被決の供給では致物が、在状で供給される出発性質の誘痛よりも高い限度に保持される。気化した出発物質を不効性ガスにより者取することがお利な場合もある。通過な不信性ガスは、例とば水高気、二度化災未及の好ましくは損害である。

反応企成物にタス状で反応委員部から取り出される。次いでダス状の反応生成他を伊せしく 性配節させる。厳密にさらに積弱工程、例えば 歴聞又は分質を連続させてもよい。

#### 전투박 58-210031 (영)

分解生成物を含むする飲物は無機に共し、反応 おには好たな飲み体験することが好ましい。 この都級方法は、世界水準の方法に比して次 の不質的利点を名する。

#### 夾煎餅 1

#### 医单何 2

実体例1の記載と関係に操作し、ただし反応 観度で165℃に促つ。三級アメノール(43 重量で、水20.8 重量で及びインプテン629 重量でなさ有する英語物が7273種のれる。 これは B 4 × の変化率に相当し、収率は理論値 の 9 7 × である。

#### 尖峰例 3

突出例1に配配の数値に、2 1 5 でで毎時世、 気25 4 と一緒にシアロへキテノール1 0 0 5 を供放する。副時間に成成物 7 2 4 2 が得られ、 このものはシアロへキサノール2 4 重量を、シ アロヘキサノン 1.5 変量は、水 1 3.6 変量は、 シアロヘキサン 7 4.4 変量をから成る。これは するの変化率及び配筒値の 7 6 その収率に相 助する。

#### 火油剂 4

央核例1 に記載の経営に、250 でで食物ドデンルベンダールメルルン酸60 支援器と一緒に実配ガス前1800 素量部中のプタノールー1の51 重量部を導入する。係のアタノールー1の445 変量部、水136 重量部、アテンー1の445 変更部、トランスー2ープテン28 変量信息びレスー2ープテン24 重量部からの設定数50 重量部が得られる。これは反応した

排出 5B-210631 (4)

プタノールー 1 比別しまる 4 の変化系及びブテ ソー(1) B d 5 、トランエー 2 ープテンフ 4 及び シスー2 ープナン 4 4 の収率に 和当する。

出頭人 パスァ・アクチェングゼルツマフ! 代類人 弁理士 小 林 正 # IP-A-59-210031 (Kokai)

# English translation of selected passages from JP-A-59-210031 (Kokai)

# Page 1, lower left column, lines 4-12 (claim 1):

#### Claims:

1. A process for producing an olefin by decomposition-extrusion of water from an alcohol in a liquid phase, which is characterized by comprising the steps of: carrying out a dehydration reaction of an alcohol by introducing the alcohol in a liquid or gaseous state into a high-boiling-point mineral oil at a temperature not lower than a boiling point of the alcohol and a boiling point of an olefin being formed from the alcohol; discharging the formed olefin in a gaseous state; renewing the high-boiling-point mineral oil when by-products have increased; and discharging the high-boiling-point mineral oil having the increased by-products.

## Page 3, lower left column, line 1 to lower right column, line 2 (Examples 1 and 2):

## 15 Example 1

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An apparatus comprises an electric heating type quartz tube (D = 60 mm, L = 1100 mm) filled with 2.6 liters of glass beads (D = 5 mm), 1.4 kg of a vacuum gas oil (boiling point 400 °C) and 5 weight % of dodecylbenzenesulfonic acid. An amount of 74.1 g/h of tert-butanol is supplied through an immersion tube at 205 °C by a metering pump. At the same time, 2.5 liters/h of nitrogen is introduced. If a reaction mixture discharged from the reactor is condensed at -40 °C, then 72.55 g of a condensate is obtained. This condensate comprises 9.7 weight % of tert-butanol, 22.5 weight % of water and 67.8 weight % of isobutene. This corresponds to a conversion of 90.5 %. The yield of isobutene is 97 % of a theoretical value based on the reacted tert-butanol.

#### Example 2

The same operation as Example 1 is carried out except that the reaction temperature is kept at 165 °C. An amount of 72.7 g of a condensate comprising

JP-A-59-210031 (Kokai)

16.3 weight % of tert-butanol, 20.8 weight % of water and 62.9 weight % of isobutene is obtained. This corresponds to a conversion of 84 %. The yield is 97 % of the theoretical value.